

# UTILITY PATENT APPLICATION TRANSMITTAL

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Inventors: Don Brunnett, John Purkett, Bruce Liikanen

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Title: "DYNAMIC OFF TRACK DETECTOR"

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

Enclosed for filing with the above-identified utility patent application, please find the following:

1. ☒ Specification (Total Pages of Text, including Abstract and Claims: 43)
2. ☒ Drawing(s) (35 USC 113) (Total Sheets: 8) ☒ FORMAL ☐ INFORMAL
3. ☒ Oath or Declaration (Total Pages: 5) ☒ Signed ☐ Unsigned
4. ☐ Microfiche Computer Program (Appendix)
5. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a. ☐ Computer Readable Copy
  - b. ☐ Paper Copy (identical to computer copy)
  - c. ☐ Attorney for applicants hereby asserts pursuant to 37 CFR § 1.821(f) that the content of the paper of computer readable copies of SEQ ID No:1 through SEQ ID No: submitted herewith are identical
6. ☒ Assignment Papers (cover sheet & document(s)) (9 Pages)
7. ☐ 37 CFR 3.73(b) Statement (when there is an assignee)
8. ☒ Power of Attorney
9. ☐ English Translation Document (if applicable)
10. ☐ Information Disclosure Statement (IDS/PTO-1449)
11. ☐ Copies of IDS Citations (Number of References: )
12. ☐ Preliminary Amendment
13. ☒ Return Postcard (MPEP 503) (should be specifically itemized)
14. ☐ Small Entity Statement(s)
15. ☐ Certified copy of Priority Document(s)
16. ☒ A check in the amount of \$1,758.00 is enclosed.
17. ☒ Other: A check in the amount of \$40.00 is enclosed for the Recordation Fee.

## FEE CALCULATION:

	(COL. 1) NO. FILED			(COL. 2*) NO. EXTRA	SMALL ENTITY			LARGE ENTITY	
					RATE	FEE		RATE	FEE
BASIC FEE:						\$345.00	OR		\$690.00
TOTAL CLAIMS:	62	-	20	42	X \$9 =		OR	X \$18 =	\$756.00
INDEP. CLAIMS:	7	-	3	4	X \$39 =		OR	X \$78 =	\$312.00
MULTIPLE DEPENDENT CLAIMS					+ \$130 =		OR	+\$260 =	\$0.00
*IF THE DIFFERENCE IN COL. 2 IS LESS THAN ZERO, ENTER "O" IN COL. 2.					TOTAL:				\$1,758.00

## OTHER INFORMATION:

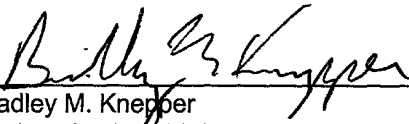
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3. ☐ Foreign Priority benefits are claimed under 35 USC §119 of Patent Application Serial No. filed  
4. Correspondence Address:

Bradley M. Knepper  
SHERIDAN ROSS P.C.  
1560 Broadway, Suite 1200  
Denver, Colorado 80202-5141  
Telephone: (303) 863-9700  
Facsimile: (303) 863-0223

Respectfully Submitted,

SHERIDAN ROSS P.C.

  
\_\_\_\_\_  
Bradley M. Knepper  
Registration No. 44,189

Date: August 15, 2000

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## DYNAMIC OFF-TRACK DETECTOR

### FIELD OF THE INVENTION

The present invention relates to the positioning and control of computer disk drive transducer heads. In particular, the present invention relates to protecting against track misregistration errors that may occur when a transducer head is off track.

### BACKGROUND OF THE INVENTION

Computer disk drives store information on magnetic disks. Typically, the information is stored on each disk in concentric tracks. The data tracks are usually divided into sectors. Information is written to and read from a disk by a transducer head. The transducer head may include a read head separate from a write head, or the read and write head may be integrated into a single read/write head. The transducer head is mounted on an actuator arm capable of moving the transducer head radially over the disk. Accordingly, the movement of the actuator arm allows the transducer head to access different data tracks. The disk is rotated by a spindle motor at a high speed, allowing the transducer head to access different sectors within each track on the disk.

The actuator arm is interconnected to a motor, such as a voice coil motor (VCM), to move the actuator arm such that the transducer head moves radially over the disk. Operation of the VCM is controlled by a servo control system. The servo control system generally performs two distinct functions: seek control and track following. The seek control function comprises controllably moving the actuator arm such that the transducer head is moved from an initial position to a target track position. In general, the seek function is initiated when a host computer associated with the computer disk drive issues a command to read data from or write data to a target track on the disk. Because of the

increasingly high demands on the performance of computer storage devices such as disk drives, it is desirable that the transducer head be moved from its initial position to a target track as quickly as possible. Once the transducer head has been moved sufficiently close to the target track by the seek function of the control system, the track following function of the servo control system is activated to center and maintain the transducer head on the target track until the desired data transfers are completed.

Typically, the transducer head will oscillate about the center line of the target track for a period of time following the transition of the servo control system from the seek mode to the track following mode. Because data written while the transducer head is oscillating about the centerline of a track may be unrecoverable during subsequent attempts to read that data, write operations are typically prohibited for a period of time following a transition from the seek mode to the track following mode. In addition, because data from adjacent tracks may inadvertently be read, or may corrupt the read signal collected by the transducer head during read operations attempted while the transducer head is oscillating, read operations are also typically inhibited for a period of time following a transition from the seek mode to the track following mode. By providing such "settling times" during which reading and writing by the transducer head is not allowed, the integrity of data written to or read from a disk drive may be better ensured.

Computer disk drives are also susceptible to data errors due to external shocks. This is because shocks can cause the transducer head to deviate from a desired position over the centerline of a data track. Therefore, it is important to prohibit the transfer of data to and from the disk during shock events. In particular, it is important to prohibit the

writing of data to a disk when shock events occur, to prevent unrecoverable errors, such as may occur when data is written to unintended areas of the disk.

As will be understood by those of skill in the art, the “centerline” of a data track does not necessarily coincide with the physical centerline of the data track. Instead, “centerline” may refer to the center of the intended data storage area of the data track. Therefore, as used herein, “centerline” of a data track need not refer to the physical centerline of the data track, and “centered” indicates that the transducer head is properly centered over the area within the track that is intended for data storage, regardless of whether that position coincides with the physical centerline of the data track.

A track misregistration error occurs when the transducer head of a disk drive is not properly centered over a data track. In particular, a read track misregistration error occurs when the read head of a transducer head is not properly centered over a data track centerline. Likewise, a write track misregistration error occurs when a write head of a transducer head is not properly centered over a data track centerline. Write track misregistration errors are particularly troublesome, because they can result in permanent data loss. For instance, data written to the disk while the write head is not centered over the data track may be unrecoverable during read operations performed later to retrieve the previously written data. This is because the read head, traveling over the centerline of the data track (i.e., looking for the data in the expected position), may not be able to retrieve the data that was written off-center. In addition to the loss of the data being written, data previously written to adjacent tracks may also be lost. For example, data written while a write head is off center may completely overwrite data in an adjacent track, or may adversely affect the magnetic transitions storing the data in the adjacent track such that

the previously written data is unrecoverable. For all of these reasons, it is important to detect track misregistration errors, and to prohibit writing by the transducer head while the transducer head is not properly centered over the target track.

5 Generally, a write fault occurs when a transducer head of a computer disk drive has deviated a predetermined distance from the centerline of the target track and the servo control system is in track following mode. Write faults are commonly caused by external shocks to the hard disk drive. In response to a write fault, a disk drive may trigger write fault condition which is maintained for a predetermined period of time, to allow oscillations caused by the shock event to dampen and disappear. While the write fault conditions is in effect, write operations are disabled.

10 In setting the distance that a transducer head must deviate from the track centerline (i.e., the magnitude of the tracking error) before a write fault is triggered, and in setting the amount of time during which the write function will be prohibited, consideration must be given to the data transfer performance of the disk drive. This is because delays in writing, although effective at avoiding track misregistration errors, reduce the data transfer performance of the disk drive. However, although the data transfer performance is of great concern to the designer of a computer disk drive, ensuring the integrity of data stored on the disk drive is of paramount importance.

15 Previous methods of detecting shock events and triggering write fault events have used accelerometers and other devices not required to perform the basic functions of a disk drive. Accordingly, such methods of detecting shock events add to the cost of the hard drive. In addition, previous shock detection methods treated all shock events equally, regardless of the severity of the shock event, and therefore unnecessarily

compromised the data throughput performance and resistance to data loss of the hard drive.

It would be advantageous to provide a computer disk drive that is capable of preventing data misregistration errors due to shock events. In particular, it would be advantageous to provide a computer disk drive having a seek control system capable of reacting to shock events in different ways, depending on the severity of a particular event. In addition, it would be advantageous to provide a computer disk drive capable of registering the severity of shock events without the need for a separately provided shock detector. Furthermore, it would be advantageous to provide a computer disk drive capable of protecting against track misregistration errors without unduly limiting the data transfer performance of the disk drive.

#### SUMMARY OF THE INVENTION

In accordance with the present invention, a method and apparatus for dynamically altering the transducer head position control parameters of a computer disk drive are provided. The present invention generally allows transducer head control parameters to be adjusted in response to observed events. In particular, the present invention allows control parameters of a disk drive to be modified in response to observed off-track events without requiring hardware extraneous to the operation of the disk drive itself, and in response to persistent transducer head position errors.

In accordance with one embodiment of the present invention, a method and apparatus is provided in which the position error of a transducer head with respect to a target track is monitored. Where a large deviation from the centerline of the desired track is observed, a severe shock may be implied, and transducer head control parameters may

be modified. In particular, in response to such a severe off-track event, a severe shock timer may begin to run. This severe shock timer, while it is running, prohibits write operations from occurring. The severe shock timer is in addition to a normal or mild off-track timer, which may be triggered by off-track events of lesser magnitude. The severe shock timer also differs from the mild off-track timer in that it has a much longer running time. This long running time allows oscillations or ringing in the disk drive assembly to dampen and disappear before write operations are again attempted. In addition, because the ringing following a severe shock event has a relatively low frequency, the long delay introduced by the severe shock timer prevents the disk drive controller from repeatedly cycling between an off-track state in which writing is prohibited due to the operation of the normal off-track timer, and an the "on track" state, which may be entered as the transducer head passes through the centerline of the target track.

According to another embodiment of the present invention, the transducer head control parameters are modified in response to a severe shock event such that any subsequent off-track events more easily trigger a write fault condition. In particular, in response to an off-track event of sufficient magnitude, a lower write fault threshold may substitute for the normal write fault threshold for at least a predetermined period of time. Because off-track events due to shocks commonly occur in closely spaced groups, this embodiment of the present invention allows the system to quickly respond to subsequent off-track events, and to better protect the drive against track misregistration errors.

According to yet another embodiment of the present invention, a method and apparatus for modifying transducer head control parameters in response to a persistent transducer head position error is provided. According to this embodiment of the present



invention, an accumulated average transducer head position error is compared to a threshold average position error. If the threshold average position error is exceeded, a lower write fault threshold or other modification to the transducer head control parameters may be activated for a period of time. Thus, where, for example, the transducer head is continuously traveling to one side of the centerline of the target track, the position error of the transducer head required to trigger a write fault is reduced. This is advantageous because, as the transducer head is traveling to one side of the data track, it is more vulnerable to being knocked out of position by a shock, potentially corrupting data in adjacent tracks, or otherwise experiencing a track misregistration error.

Based on the foregoing summary, a number of salient features of the present invention are readily discerned. A method and apparatus for modifying the transducer head control parameters of a disk drive are provided. The method and apparatus of the present invention enable transducer head control parameters to be adjusted in response to observed environmental conditions. Accordingly, a disk drive in accordance with the present invention is capable of providing the level of protection against data errors that is appropriate to the operating parameters of the disk drive at a given point in time, while maintaining high data throughput performance. In particular, where observed conditions indicate that a track misregistration error that may result in a write fault is imminent, protection against such write faults may be increased. However, where observed conditions do not indicate that a track misregistration error is imminent, more relaxed protection measures may be employed, allowing the drive to operate at a higher rate of performance.

Additional advantages of the present invention will become readily apparent from the following discussion, particularly when taken together with the accompanying drawings.

#### BRIEF DESCRIPTION OF THE DRAWINGS

**Fig. 1** is a top view of a conventional computer disk drive, with the cover removed;

**Fig. 2** is a schematic representation of a magnetic storage disk;

**Fig. 3** is a plan view of a disk drive transducer head incorporating an inductive write head and a magnetoresistive read head, wherein the illustrated surface of the transducer head faces the magnetic disk;

**Fig. 4** is a partial schematic illustration of a magnetic storage disk data track;

**Fig. 5** is a functional flow diagram of the operation of a system according to an embodiment of the present invention;

**Fig. 6A** is a time line of the operation of a system according to an embodiment of the present invention illustrated in Fig. 5;

**Fig. 6B** is a time line showing an example position error of a transducer head relative to a data track centerline;

**Fig. 7** is a functional flow diagram of the operation of a system according to another embodiment of the present invention;

**Fig. 8A** is a time line showing the operation of a system according to an embodiment of the present invention illustrated in Fig. 7;

**Fig. 8B** is a time line showing an example position error of a transducer head with respect to a data track centerline;

**Fig. 9** is a functional flow diagram of the operation of a system according to yet another embodiment of the present invention;

**Fig. 10A** is a time line of the operation of a system according to an embodiment of the present invention illustrated in Fig. 9; and

**Fig. 10B** is a time line illustrating an example position error of a transducer head with respect to the centerline of a data track.

#### DETAILED DESCRIPTION

**Fig. 1** illustrates a typical computer disk drive. The disk drive, generally identified by reference number **100**, includes a base **104** and magnetic disks **108** (only one of which is shown in **Fig. 1**). The magnetic disks **108** are interconnected to the base **104** by a spindle motor (not shown) mounted within or beneath the hub **112**, such that the disks **108** can be rotated relative to the base **104**. Actuator arm assemblies **116** (only one of which is shown in **Fig. 1**) are interconnected to the base **104** by a bearing **120**. The actuator arm assemblies **116** include transducer heads **124** (only one of which is illustrated in **Fig. 1**) at a first end, to address each of the surfaces of the magnetic disks **108**. A voice coil motor **128** pivots the actuator arm assemblies **116** about the bearing **120** to radially position the transducer heads **124** with respect to the magnetic disks **108**. The voice coil motor **128** is operated by a controller **132** that is in turn operatively connected to a host computer (not shown). By changing the radial position of the transducer heads **124** with respect to the magnetic disks **108**, the transducer heads **124** can access different data tracks or cylinders **136** on the magnetic disks **108**.

With reference now to **Fig. 2**, a typical arrangement of data tracks **136** on a magnetic disk **108** is illustrated. Usually, the data tracks **136** are divided into data fields

**204a-204h** with a servo sector **208a-208h** between each data field **204a-204h**. Generally, the data fields **204a-204h** are used for storing data as a series of magnetic transitions, while the servo sectors **208a-208h** are used for storing information used to provide the transducer head **124** with positioning information. In particular, the servo sectors **208a-208h** provide the transducer head **124** with information concerning its position over the magnetic disk **108**. More particularly, the servo sectors **208a-208h** provide information to the transducer head **124** concerning the position of the transducer head **124** with respect to the centerline of a target track **136**.

Although the magnetic disks **108** illustrated in **Figs. 1** and **2** are illustrated as having a relatively small number of data tracks and sectors, it can be appreciated that a typical computer disk drive contains a very large number of data tracks and sectors. For example, computer disk drives having over 14,000 tracks per inch and 240 sectors are presently available. In addition, alternate configurations of magnetic disks **108** are possible. For example, in a computer disk drive having several magnetic disks **108**, a surface of one of the disks **108** may be dedicated to servo information, while the surfaces of the remaining disks **108** are used exclusively to store data.

A typical transducer head contains functionally separate write and read heads, or elements. A transducer head **124** having a write head **304** and a separate magneto-resistive read head **308** is illustrated in plan view in **Fig. 3**.

The write head **304** may include a write pole **312** and a shared shield **316**. The write pole **312** and shared shield **316** are joined magnetically to form a yoke, about which a coil of wire is wrapped (not shown). In operation, current may be passed through the coil in a first direction to produce a magnetic field within the yoke. At a gap **320** formed

between the end of the write pole **312** and the end of the shared shield **316**, the magnetic field spreads out because the magnetic permeability of the gap is less than that of the yoke itself. The gap **320** is positioned so that it is in close proximity to a magnetic disk **108**, allowing some of the magnetic field to magnetize a portion of the disk **108** in a particular direction. In a typical disk drive for use in a digital computer, a "1" is coded by reversing the direction in which the disk is magnetized from one portion of the track to the next. This is done by reversing the direction of the current in the coil. A "0" is indicated by the absence of a change in magnetic polarity. Of course, these conventions could be reversed. The information, in the form of magnetic flux transitions, is encoded in narrowly defined tracks **136** on the magnetic disk **108**.

The read head **308** in a disk drive operates by sensing the magnetic flux transitions encoded in the disk **108** by the write operation. One method of sensing such transitions is with a magnetoresistive head. Such a head is comprised of material that changes its electrical resistance when it is exposed to a magnetic field. In a magnetoresistive read head, a strip of magnetoresistive material **324** is held between the shared shield **316** and a read shield **328**. Each end of the strip of the magnetoresistive material **324** is connected to a conductor (not shown). The conductors are in turn connected to a current source (not shown). Because the electrical resistance of the magnetoresistive material **324** varies with the strength and direction of an applied magnetic field, transitions in the magnetic flux passing through the magnetoresistive material **324** result in changes in the voltage drop across the magnetoresistive strip **324**. These changes in the voltage drop are sensed and then converted into a digital signal for use by the host computer. Of course, other methods for sensing the magnetic flux

transitions may be used in connection with a disk drive in accordance with the present invention. For example, the transducer head may use inductive, giant magnetoresistive, spin valve, dual spin valve, or any other type of read head for sensing magnetic transitions.

In order to sense the transitions between the small magnetic fields written to the disk **108** and thus retrieve data from the magnetic disk **108**, the read head **308** is held in close proximity to the track containing the desired information. The disk **108** is rotated under the head **124**, and flux transitions read by the read head **308** are interpreted as a binary "1", as described above. The magnetic shields on either side of the magnetoresistive material **324** limit the effect of magnetic flux transitions adjacent to or in the proximity of the precise area of the track from which information is to be retrieved.

In performing write operations, it is important that the centerline 332 of the write head 304 is centered over the intended data track 136. If the write head 304 is not so positioned, there is a risk that information written while the write head 304 was not properly centered over the intended data track 136 will not be retrievable during future read operations. This is because the data will not be in the expected position. In addition, it is possible that data in an adjacent track will be overwritten or corrupted if a write operation is performed while the write head 304 is not held within a narrow region about the centerline of the intended data track 136. Therefore, when the transducer head 124 is not adequately centered over the desired data track 136, the transducer head 124 is considered to be in an "off-track" state, during which write operations are not permitted. In addition to periods of time during which the transducer head 124 is being moved from one data track 136 to another, the transducer head 124 may also enter an off-track state

due to external shocks experienced by the disk drive 100. Write operations are generally prohibited for a period of time following an off-track event to avoid data errors due to write operations while the transducer head 126 is off-track. However, it is desirable to allow write operations to occur as soon as possible following an off-track event, to ensure the highest possible data throughput performance of the disk drive 100. Therefore, provisions taken to ensure the absolute integrity of data stored on a disk drive 100 should not unduly limit the performance of the disk drive 100.

With reference now to Fig. 4, a portion of a data track 136 is illustrated in plan view. The width **D** of a typical data track 136 may be about 1  $\mu\text{m}$ . The centerline 404 of the data track 136 is also the preferred centerline of data written to the data track 136. Typically, data is written to a track using a write head 304 (see Fig. 3) that is about 2/3 the full width of the data track 136. By centering data written to the data track 136 about the centerline 404, the maximum distance between data written to adjacent tracks 136 is maintained. This reduces the possibility of overwriting data in adjacent tracks or corrupting such data. In addition, the centerline is where the disk drive 100 expects data to be positioned in subsequent read operations. When a transducer head 126 is not precisely following the centerline 404 of a data track 136, the magnitude of the deviation may be expressed as a percent of the total track width **D** by which the transducer head 126 is out of position. For instance, lines 408 represent a distance equal to 15 percent of the total track width **D** of the data track 136. Also shown in Fig. 4 are lines 412, which are at a distance from the centerline 404 equivalent to 35 percent of the track width **D**.

With reference now to Fig. 5, a flow chart illustrating a method for detecting track misregistration errors is illustrated. Initially, an off-track event is detected (step 504).

According to one embodiment of the present invention, the off-track event is recognized as a deviation of the centerline 332 of the write head 304 transducer head 124 from the centerline 404 of a data track 136 by a distance expressed as a percent of the total track width **D** of the data track 136. For example, an off-track event may be quantified as a deviation of the centerline 332 of the write head 304 from the centerline 404 of the data track 136 by a distance equal to 15 percent of the total width **D** of the data track 136. Immediately following detection of an off-track event, write operations are prohibited (step 508).

At step 512, the magnitude of the off-track event is considered. If the magnitude of the off-track event is greater than a predetermined severe write fault or shock threshold, the system initializes an off-track timer with a severe shock time value (step 516). While the off-track timer is running, write operations by the transducer head 126 are disabled. Where an off-track event is detected, but the magnitude of the deviation of the transducer head 126 from the centerline 404 (*i.e.*, the write fault) is less than the severe shock threshold (for example, less than 35 percent of the track width **D**) (step 512), the off-track timer is initialized with an off-track time value (step 520). The off-track time value prohibits write operations for a shorter period of time than the severe shock time value.

After the off-track timer has been initialized, the system determines whether the position of the transducer head is within an on-track threshold (step 524). For example, the system determines whether the transducer head centerline 332 deviates from the centerline 404 of the data track 136 by a distance that is less than 15 percent of the total width of the data track 136. If the transducer head is not within the on-track threshold,



the system returns to step **512** to determine the magnitude of the deviation. The system then proceeds to either step **516** or **520**, as appropriate. It should be noted that the value held by the timer can only be increased in steps **516** and **520**. For example, if a value of 5 ms is held by the timer when step **520** is entered, and the initial off-track value is 130  $\mu$ s, the timer would not be reset to a value of 130  $\mu$ s. Instead, step **520** would leave the value of 5 milliseconds unchanged. However, if the initial value at steps **516** or **520** is greater than the value held by the timer when step **516** or **520** is entered, the timer is reset to the initial value. For example, if the timer holds a value of 50  $\mu$ s when step **520** is entered, and the shock timer initial value is 130  $\mu$ s, then the timer is reset to 130  $\mu$ s. If the transducer head is within the on-track threshold, the off-track timer is decremented (step **528**). According to one embodiment of the present invention, the off-track timer is decremented as each servo sector **208** passes beneath the transducer head **126**. According to another embodiment of the present invention, the off-track timer may disable write operations for not less than an initialized period of time.

As mentioned above, the severe shock time value prohibits write operations for a longer time period than does the off-track time value. According to one embodiment of the present invention the severe shock time value disables write operations until the disk **108** has made at least 1/4 of a revolution but less than a full revolution. According to a further embodiment of the present invention, the severe shock time value disables write operations until the disk **108** has made at least 1/2 a revolution but not more than 3/4 of a revolution from the point at which the off-track timer was set. According to still another embodiment of the present invention, the severe shock time value prohibits write operations for a time equivalent to the time necessary for the disk **108** to make about 3/4

of a revolution. According to a further embodiment of the present invention, the severe shock time value is set so that the off-track timer expires after the passage of 120 servo sectors **208**. The severe shock time value may, according to one embodiment, prohibit write operations for approximately six milliseconds. Of course, the period of time, number of servo sectors passed, or the number of revolutions of the disk during which write operations are prohibited may be greater than that determined by the severe shock time value alone if the transducer head does not return to an on-track state by the time of the next position sample.

The off-track time value is generally shorter than the severe shock time value. For example, according to one embodiment of the present invention, the off-track time value prohibits or disables write operations for the time required for two sectors **208** to pass beneath the transducer head **126**. This is equivalent to a delay of approximately 130 microseconds when implemented in a typical disk drive **100**. As with the severe shock timer, the period of time or number of servo sectors passed during which write operations are prohibited may be greater than that determined by the off-track time value alone if the transducer head does not return to an on-track state by the time of the next position sample.

At step **532**, the system determines whether the value held by the off-track timer is greater than zero. If it is, write operations continue to be prohibited, and the system returns to step **524** to determine whether the transducer head centerline **332** is within the on-track threshold. If the value held by the off-track timer is not greater than zero, the transducer head **124** is declared to be "on-track," and write operations are enabled (step **536**).

5 The method illustrated in Fig. 5 ensures that data is written to the magnetic disk 108 in such a way that it can be reliably retrieved at a later time, without greatly affecting the performance of the disk drive 100. In particular, following off-track events of relatively small magnitude, write operations are disabled for a relatively short period of time. Therefore, the transducer head 126 may continue write operations soon after the off-track event that caused the transducer head 126 to deviate from the centerline 404 of the data track 136 by the prescribed amount. In this way, write operations are inhibited only as long as necessary.

10 Following a more severe off-track event, such as one caused by a shock, the inventors have discovered that a relatively low frequency oscillation, or spindle rocking mode, can occur in the hub 112 of the disk drive 100. This oscillation, or ringing, causes the magnetic disk 108 to move radially with respect to the transducer head 126. Particularly in connection with the small track widths used in high density hard disk drives, this movement may result in data misregistrations. However, because it is of relatively low frequency, the data track 136 may appear to be properly centered under the transducer head 126 for a long enough time period that a conventional servo controller 132 will declare the transducer head 126 to be "on-track." Accordingly, in such systems it is possible for a track misregistration error to occur after the off-track timer has expired. Therefore, data may be written in such a way that it is unrecoverable, and/or corrupts or overwrites data in adjacent tracks 136.

20 In order to prevent such track misregistration errors, the present invention provides for an extended settle time following a severe off-track or shock event, and a shorter settle time following a mild off-track event. Generally, the length of the delay

following a severe off-track event is set so that oscillations in the hub 112 can dampen. The impact of this embodiment of the present invention on the performance of a disk drive 100 is small, as it is operative only after severe off-track events. Accordingly, the present invention allows transducer head control parameters to adapt to observed environmental conditions and provide appropriate measures to ensure the integrity of the data. Although the discussion above has been in terms of one shock-timer that can be initialized to either of an off-track time value or a severe shock time value, it should be understood that the timer may be initialized using additional time values of varying lengths in response to shocks of varying magnitudes. In addition, it should be understood that multiple timers may be used, as in the following example.

With reference now to **Figs. 6A** and **6B**, aspects of the operation of the method illustrated in **Fig. 5** are shown. In particular, **Fig. 6A** illustrates a time line showing when the severe shock timer and the mild shock timer of the above-described embodiment are operative. The trace 604 in **Fig. 6B** illustrates the position of the centerline 332 of the write head 304 with respect to the centerline 404 of a target data track 136 in terms of the percent of total track width by which the write head 309 is off center, and at times corresponding to the events illustrated in the time line of **Fig. 6A**. The example of the operation of an embodiment of the present invention illustrated in **Figs. 6A** and **6B** differs from the embodiment illustrated in **Fig. 5** in that a separate off-track timer and severe off-track event or shock timer are used in **Figs. 6A** and **6B**.

In **Fig. 6B**, a large deviation from the centerline 404 by the transducer head 126 can be seen to occur at point **B**. Because of the magnitude of this off-track event, the trace 604 crosses the severe off-track or shock threshold 608, shown in **Fig. 6B** as being

equal to a distance corresponding to 35 percent of the total track width **D**. Accordingly, the severe shock timer is triggered at time **B**. The time during which the severe shock timer is operative is illustrated in **Fig. 6A** by arrow **612**.

With reference again to **Fig. 6B**, a series of relatively large amplitude, low frequency oscillations can be seen to occur following the initial off-track event at point **B** and continuing to point **E**. Because the severe shock timer **612** is active between points **B** and **G**, no write operations are allowed to occur during that time. Therefore, track misregistration errors due to the "ringing" of the disk drive assembly **100** are avoided. Note that the "ringing" seen between points **B** and **E** in **Fig. 6B** extends for a relatively long period of time.

At point **C** in **Fig. 6B**, an oscillation extending beyond the off-track event threshold **616**, shown in **Fig. 6B** as being equal to a distance corresponding to a 15 percent deviation from the track centerline **404**, can be seen. Although according to this embodiment the oscillation at point **C** triggers the off-track timer, the activation of which is shown by the arrow **620** in **Fig. 6A**, operation of the disk drive is not affected, as the severe shock timer **612** is already in operation. Of course, in an alternative embodiment, the off-track timer need not be triggered when the severe shock timer is in operation.

At point **F**, a second off-track event can be seen to occur in **Fig. 6B**. The shock event at time **F** is smaller in magnitude than that at time **B**, and the severe shock timer is not activated. However, the shock at time **C** crosses the off-track event threshold **616**, and therefore is severe enough to trigger the off-track timer. This second activation of the off-track timer is illustrated in **Fig. 6A** as arrow **624**. The off-track timer is active from time **F** until time **H**, at which time write operations will again be allowed, provided that

the transducer head **124** is otherwise found to be adequately centered in the data track **136**. Note that severe oscillations in the position of the transducer head **126** relative to the centerline **404** of the data track **136** have in the example in **Fig. 6B** ceased by time **H**, which time corresponds to the extinction of the off-track timer **624**. Also note that the operation of the severe shock timer **612** expires at time **G** (**Fig. 6A**), but that write operations will not be allowed until time **H**. Therefore, it can be appreciated that, at least with regard to one embodiment of the present invention, the operation of the off-track and severe shock timers may overlap. Accordingly, the present invention may protect against track misregistration errors even when off-track events occur in rapid sequence.

Referring now to **Fig. 7**, a flow chart illustrating the operation of another embodiment of the present invention is shown. This embodiment of the present invention is particularly well suited to guarding against track misregistration errors that may occur when the disk drive is subjected to a series of off-track events, such as may be caused by a series of shocks. According to this embodiment of the present invention, an off-track event is detected (**step 704**) by any one of a variety of means. For example, the off-track event may be detected as a deviation of the write head **304** from the centerline **404** of the target track **136** by at least a prescribed amount. Alternatively, the off-track event may be detected as a prescribed minimum velocity at which the write head **304** exceeds a predetermined distance from the centerline **404** of the target track **136**. For example, a write head **304** that exceeds a distance from the centerline **404** of the target track **136** corresponding to 10 percent of the total width of the target track **136** at a certain minimum velocity may trigger detection of an off-track event.

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After an off-track event has been detected, a hair trigger timer is set (**step 708**). Also following detection of the off-track event (**step 704**), the write fault threshold is changed from its normal value to a hair trigger value (**step 712**). For example, where the write fault threshold is expressed as a distance from the centerline **404** of the target track **136**, and the normal write fault threshold is a distance from the centerline **404** equal to 20 percent of the total width of the target track **136**, the hair trigger threshold may be a distance from the centerline **404** equivalent to 10 percent of the total width **D** of the target track **136**. Similarly, where the write fault threshold is expressed as the velocity with which the write head **304** exceeds a predetermined distance from the centerline **404** of the target track **136**, the velocity necessary to trigger a write fault when the hair trigger threshold is set may be lower than the velocity necessary to trigger a write fault when the normal threshold is in place. Accordingly, it can be appreciated that, when the hair trigger timer is running, a hair trigger threshold for write fault events replaces the normal write fault threshold. It further can be appreciated that the hair trigger threshold may be exceeded by a smaller off-track event than is required to exceed the normal write fault threshold.

At step **716**, the hair trigger timer is decremented. According to one embodiment of the present invention, the hair trigger timer is decremented for each sector **208** that the transducer head **126** passes over. When the hair trigger timer is equal to zero, or has otherwise run its course (**step 720**) the hair trigger write fault threshold is replaced by the normal write fault threshold (**step 724**). As with the other embodiments of the present invention, the hair trigger timer, in addition to being implemented as a count value that is decremented with each sector **208** that passes beneath the transducer head **126**, may be

implemented as a clock timer dependent only on a time count. Furthermore, as with the timers included as part of the other embodiments of the present invention, the hair trigger timer may be implemented as software routines running in the controller 132 of the disk drive 100. Alternatively, the timer may be implemented as separate hardware devices in communication with the controller 132 of the disk drive 100.

The method illustrated in Fig. 7 provides increased protection against track misregistration errors caused by off-track events, such as may be caused by shocks. This is because the lower write fault threshold in place while the hair trigger timer is in operation allows a write fault to be declared more easily. Accordingly, following an off-track event triggering the hair trigger timer, the system implementing the present embodiment of the invention may substitute a more sensitive write fault threshold. In this way, a write fault may be declared in response to smaller off-track events than is normally required. Accordingly, this embodiment of the present invention increases the disk drive's 100 protection against track misregistration errors where the disk drive 100 experiences a series of off-track events in close temporal proximity. In addition, this increased protection against track misregistration errors is provided with little detriment to the data throughput performance of the disk drive 100, as the hair trigger threshold is only in place following a first off-track event of the required magnitude.

With reference now to Figs. 8A and 8B, aspects of the method illustrated in Fig. 7 are shown. Fig. 8A illustrates a time line showing times during which the hair trigger timer is operational, according to an example. The trace 804 in Fig. 8B illustrates the position of the centerline 332 of the write head 304 with respect to the centerline 404 of a target data track in terms of the percent of total track width by which the write head 304



is off center at times corresponding to the times illustrated in **Fig. 8A**. The scale at the left in **Fig. 8B** expresses the distance of the write head **304** from the centerline **404** as a percentage of the total track width of the target track **136**.

In **Fig. 8B**, a large off-track event can be seen to occur at point **B**. Because the off-track event at time **B** is of sufficient magnitude to exceed the normal write fault threshold **808** then active, the hair trigger timer is activated at that time. The activation of the hair trigger timer is shown in **Fig. 8A** as an arrow **812**. As shown in **Fig. 8B**, the normal write fault threshold **808** may be a distance from the centerline **404** of the target track **136** equal to 15 percent of the total width of the target track **136**. After the hair trigger timer has been set, the hair trigger threshold will remain in effect until the timer expires. For example, following the off-track event shown at point **B** in **Fig. 8B**, the hair trigger threshold **816** is in effect until the hair trigger timer **812** expires at time **D**. At time **C** it can be seen from the trace **804** in **Fig. 8B** that the write head **304** has deviated from the centerline **404** a distance greater than 7 percent of the total width **D** of the target track **136**. That is, the write head **304** has crossed the hair trigger write fault threshold **816**. Although the off-track event occurring at time **C** is of a magnitude less than the magnitude normally required to trigger a write fault, according to the present example, the hair trigger timer is still in operation at time **C**. Therefore, the hair trigger write fault threshold **816** is in effect. Accordingly, the off-track event at time **C** causes the hair trigger timer, represented in **Fig. 8A** by an arrow **820**, to be reset. Accordingly, due to the operation of the hair trigger timer **820**, the hair trigger write fault threshold **816** remains in effect until time **E**. Note that, if the hair trigger timer were not implemented, the off-track event at time **C** would not reset the hair trigger timer.

According to one embodiment of the present invention, operation of the hair trigger timer, in addition to putting into effect the hair trigger threshold, may also disable write operations. Write operations may be disabled for as long as the hair trigger timer is in effect, or for a period determined by a separate shock timer.

5           With reference now to **Fig. 9**, yet another method for detecting track misregistration errors is illustrated. According to this embodiment of the present invention,  $N$  position samples are taken (**step 904**). For example, the system may determine the position of the write head **304** with respect to the centerline **404** of the data track **136** as the transducer head **126** crosses a sector **208**. This may be done for  $N$  sectors. The values thus obtained, known as the position error, may be added together to obtain a sum (**step 904**). The sum is then divided by  $N$  to arrive at an average position error (**step 908**). Next, at **step 912**, the average position error is compared to an average error threshold, which functions as a write fault threshold. Therefore, it can be understood that the term “write fault” may include both past and present position errors. If the calculated average position error is less than the write fault threshold for the accumulated position error, the normal write fault threshold is set (**step 916**). Then, the next position sample is taken (**step 920**). At **step 924**, the difference between the average position error and the most recent position error sample is calculated. The result is divided by  $N$  and added to the average position error to obtain a new average position error. The new average position error is then compared to the average position error threshold at **step 912**. The **steps 912, 916, 920** and **924** are repeated so long as the average position error threshold is not exceeded.

If at **step 912** it is determined that the average position error is greater than the average position error threshold, the write fault threshold is reset to the sensitive write

fault threshold (**step 928**). The next position sample is then taken (**step 920**) and a new average position error calculated (**step 924**). The system then returns to **step 912**.

Accordingly, the reduced write fault threshold set at **step 924** remains in effect until the average position error drops below the average position error threshold.

5               With reference now to **Figs. 10A and 10B**, aspects of the operation of the embodiment illustrated in **Fig. 9** are shown. In particular, **Fig. 10A** illustrates a time line showing the accumulated average position error **1004**. The trace **1008** in **Fig. 10B** illustrates the position of the transducer head **126** over time that results in the average position error **1004** illustrated in **Fig. 10A**. Between points **A** and **B** the average position error is unknown, because the requisite number of samples have not yet been collected. At time **C**, the accumulated average position error **1004** can be seen to cross the average position error threshold **1012**. Accordingly, at time **C**, the system modifies the transducer head position control parameters. The modification to the transducer head position control parameters may comprise the implementation of a lower write fault threshold. This is believed desirable because, as the transducer head **126** has been observed in a position to one side of the centerline **404** of the target track **136** for a period of time, it is more vulnerable to track misregistration errors due to off-track events such as may be caused by shocks. The modification to the transducer head position control parameters may also include inhibiting write operations for a period of time. This may prevent write fault errors that are imminent, although it generally will have a greater impact on the data throughput performance of the disk drive **100** than simply lowering the write fault threshold.

At time **D**, the average position error **1004** illustrated in **Fig. 10A** can be seen to have dropped below the average position error threshold. Therefore, at time **D**, the transducer head position control parameters are returned to normal. In **Fig. 10B**, it can be observed that, by time **D**, the persistent off center position of the transducer head **126**, which caused the average position error to exceed the threshold **1012**, has ceased, bringing the average position error **1004** to within the threshold limits.

It will also be appreciated that the average position error **1004** is plotted as distinct segments. This is a direct result of the described method, in which position error samples are taken over each servo sector and a new average calculated for each servo sector. Accordingly, the function used to calculate the average in the described embodiment is not continuous, but is instead piecewise. Although the illustrated embodiment describes calculating the average as each servo sector is passed, this is not necessarily the case. For instance, the average may be computed periodically, such as after every fourth servo sector is traversed. In addition, the average may be determined from a continuously collected position error. Of course, in implementing this embodiment of the present invention, the accumulated error need not be converted to an average value. For instance, a gross accumulated error may be compared to an appropriate threshold in determining whether activation of the reduced write fault threshold shock timer is warranted.

According to the present invention, a dynamic off track detector is disclosed for improving the performance of computer disk drives. In particular, the present invention provides a method for adapting disk drive transducer control parameters to observed environmental conditions. This allows the disk drive to better protect against write fault

events that result in data errors, while maintaining a high data throughput. In addition, the present invention provides a method and apparatus for adapting the transducer head control parameters of a disk drive to the environment. Accordingly, the present invention allows the presence of observed off-track events to modify the transducer head control parameters, such that a write fault may more easily be triggered. Again, this allows the disk drive to better guard against write fault errors resulting in data loss, while maintaining a high rate of data throughput. In addition, the present invention provides a method and apparatus for monitoring the accumulated average position error of a transducer head. Where the accumulated average error is greater than a threshold value, transducer head control parameters may be modified. In this way, data loss due to a persistent off center position, which places the transducer head in a position that is more vulnerable to data loss due to off-track events, can be avoided. Again, this allows the disk drive to protect against data loss while maintaining a high rate of data throughput.

The foregoing discussion of the invention has been presented for purposes of illustration and description. Further, the description is not intended to limit the invention to the form disclosed herein. Consequently, variations and modifications commensurate with the above teachings, within the skill and knowledge of the relevant art, are within the scope of the present invention. The embodiments described hereinabove are further intended to explain the best mode presently known of practicing the invention and to enable others skilled in the art to utilize the invention in such or in other embodiments and with various modifications required by their particular application or use of the invention. It is intended that the appended claims be construed to include the alternative embodiments to the extent permitted by the prior art.

What is claimed is:

1. A method for reducing track misregistration errors in a disk drive,  
comprising:

specifying a write fault of at least a first magnitude;

5 in response to detecting a write fault of at least said first magnitude, preventing a  
write operation from occurring for at least a first time period;

specifying a write fault of at least a second magnitude, wherein said second  
magnitude is greater than said first magnitude;

10 in response to detecting a write fault of at least said second magnitude, preventing  
a write operation from occurring for a least a second time period, wherein said second  
time period is greater than said first time period.

2. The method of Claim 1, wherein said first time period is a time equal to an  
amount of time required for at least two disk hard sectors to pass beneath said transducer  
head.

3. The method of Claim 1, wherein said first time period is about 130  $\mu$ s.

4. The method of Claim 1, wherein said second time period is a time  
equivalent to an amount of time required for at least 120 disk hard sectors to pass beneath  
said transducer head.

5. The method of Claim 1, wherein said second time period is about 6 ms.

6. The method of Claim 1, wherein said second magnitude is greater than  
about two times said first magnitude.

7. The method of Claim 1, wherein said write faults are measured as a distance of a transducer head from a centerline of a data track.
8. The method of Claim 7, wherein said second magnitude comprises a write fault of about 35% of a data track width.
9. The method of Claim 7, wherein said first magnitude comprises a write fault of greater than about 15% of a data track width, and wherein said second magnitude comprises a write fault of about 35% of a data track width.
10. The method of Claim 1, wherein said second time period is equivalent to a time required for a disk in said disk drive make at least 1/4 of a revolution but less than a full revolution.
11. The method of Claim 1, wherein said second time period is equivalent to a time required for a disk in said disk drive to make at least 1/2 a revolution but not more than 3/4 of a revolution.
12. The method of Claim 1, wherein said second the period is equivalent to a time required for a disk in said disk drive to make about 3/4 of a revolution.

13. A hard disk drive, comprising:

a base;

a disk comprising a plurality of data tracks arranged concentrically about said spindle, wherein each of said data tracks is segmented into a plurality of data sectors by servo sectors, and wherein said disks may be rotated at a constant velocity with respect to said base;

a transducer head having a read head for reading information from said data tracks and a write head for writing information to said data tracks, wherein said transducer head is movable in a radial direction with respect to said disk to address a selected one of said plurality of data tracks;

a voice coil motor, interconnected to said transducer head, for moving said transducer head with respect to said data tracks;

a controller, interconnected to said voice coil motor, for controlling a position of said transducer head with respect to said data tracks, wherein said controller receives information concerning a position of said transducer head with respect to a centerline of a track being followed by said transducer head from said read head, wherein following a deviation of said transducer head from said centerline by a first distance, said write head is prevented from writing information to said data track for a first time period, wherein following a deviation of said transducer head from said centerline by a second distance, greater than said first distance, said write head is prevented from writing information to said data track for a second time period, greater than said first time period.



14. The hard disk drive of Claim 13, wherein said first time period is a time equal to an amount of time required for at least two servo sectors to pass beneath said transducer head.

15. The hard disk drive of Claim 13, wherein said first time period is about 130  $\mu$ s.

16. The hard disk drive of Claim 13, wherein said second time period is a time equivalent to an amount of time required for at least 120 servo sectors to pass beneath said transducer head.

17. The hard disk drive of Claim 13, wherein said second time period is about 6 ms.

18. The hard disk drive of Claim 13, wherein said second distance is greater than about two times said first distance.

19. The hard disk drive of Claim 13, wherein said second distance comprises a write fault of about 35% of a data track width.

20. The hard disk drive of Claim 19, wherein said first distance comprises a write fault of greater than about 15% of a data track width, and wherein said second distance comprises a write fault of about 35% of a data track width.

21. The hard disk drive of Claim 13, wherein said second time period is equivalent to a time refined for said disk to make at least 1/4 of a revolution but less than a full revolution.

22. The hard disk drive Claim 13, wherein said second time period is equivalent to a time required for said disk to make at least  $\frac{1}{2}$  a revolution but not more than  $\frac{3}{4}$  of a revolution.

23. The hard disk drive of Claim 13, wherein said second time period is equivalent to a time required for said disk to make about  $\frac{3}{4}$  of a revolution.

24. A method for reducing the off-track position of a disk drive transducer head, comprising:

setting a first write fault threshold as an active write fault threshold;

5 detecting a first off-track event having a magnitude greater than said first write fault threshold;

in response to said first off-track event, setting a second write fault threshold, wherein said first write fault threshold corresponds to a larger off-track event than said second write fault threshold, and wherein said second write fault threshold is set as said active write fault threshold for at least a predetermined period of time;

10 detecting a second off-track event having a magnitude greater than said second write fault threshold but less than said first write fault threshold during said period that said second write fault threshold is set as said active write fault threshold; and

in response to said second off-track event, signaling a write fault.

25. The method of Claim 24, wherein said first and second write fault thresholds comprise predetermined distances of said transducer head from a centerline of a target track, and wherein said steps of detecting first and second off-track events comprise detecting movement of said transducer head to a position at a distance from said target track centerline greater than said respective first or second write fault thresholds.

26. The method of Claim 24, wherein said step of detecting a an off-track event comprises detecting a velocity with which said transducer head crosses a third write fault threshold, wherein said third write fault threshold comprises a predetermined distance from a centerline of a target data track.

27. The method of Claim 24, wherein said first write fault threshold is equal to said third write fault threshold.

28. The method of Claim 24, wherein said first write fault threshold has a magnitude of about two times a magnitude of said second write fault threshold.

29. The method of Claim 24, wherein said first write fault threshold corresponds to a distance of said transducer head from a centerline of a data track equal to about 35% of a width of said data track.

30. The method of Claim 24, wherein said second write fault threshold corresponds to a distance of said transducer head from a centerline of a data track equal to about 15% of a width of said data track.

31. The method of Claim 24, wherein a write fault is signaled in response to said first off-track event.

32. A hard disk drive, comprising:

a base;

5 a disk comprising a plurality of data tracks arranged concentrically about said spindle, wherein each of said data tracks is segmented into a plurality of sectors, and wherein said disk may be rotated at a constant velocity with respect to said base;

a transducer head having a read head for reading information from said data tracks and a write head for writing information to said data tracks, wherein said transducer head is movable in a radial direction with respect to said disk to address a selected one of said plurality of data tracks;

10 a voice coil motor, interconnected to said transducer head, for moving said transducer head with respect to said data tracks;

a controller, interconnected to said voice coil motor, for controlling a position of said transducer head with respect to said data tracks, wherein said controller receives information concerning a position of said transducer head with respect to a centerline of a track being followed by said transducer head from said read head, wherein following detection of a first off-track event of at least a first magnitude a write fault is triggered, wherein following detection of a second off-track event of at least a second magnitude within a predetermined amount of time following said first off-track event, a write fault is triggered, and wherein said first magnitude is greater than said second magnitude.

33. The hard disk drive of Claim 32, wherein said first off-track event is detected as a deviation of said transducer head from a centerline of a target track by at least a first predetermined distance, and wherein said second off-track event is detected as

a deviation of said transducer head from a centerline of a target track by at least a second predetermined distance.

34. The hard disk drive of Claim 32, wherein said step of detecting a first off-track event comprises detecting a velocity with which said transducer head crosses a predetermined distance from a centerline of a target data track.

35. The hard disk drive of Claim 32, wherein said first magnitude is about two times said second magnitude.

36. The hard disk drive of Claim 32, wherein said first magnitude corresponds to a distance of said transducer head from a centerline of a data track equal to about 35% of a width of said data track.

37. The hard disk drive of Claim 32, wherein said second magnitude corresponds to a distance of said transducer head from a centerline of a data track equal to about 15% of a width of said data track.

38. A method for reducing the off-track position of a disk drive transducer head, comprising:

setting a first write fault threshold value;

measuring a distance of said transducer head from a centerline of a target data

5 tracks within at least a first period of time;

calculating an accumulated offset value;

comparing said accumulated offset value to said accumulated offset threshold value; and

10 modifying transducer head position control parameters if said accumulated offset value is greater than said accumulated offset threshold value.

39. The method of Claim 38, wherein said distance of said transducer head from a centerline of a target data track is measured at discrete moments in time during said at least a first period of time.

40. The method of Claim 38, wherein said steps of calculating an accumulated offset value comprises:

determining, for each of the last  $N$  servo sectors over which such transducer head has passed, a position error with respect to a center line of a target track;

5 calculating a sum of said  $N$  position errors;

dividing said sum of  $N$  position errors by  $N$  to obtain an average position error;

determining a position error with respect to a next servo sector;

calculating a difference said average position error and said position error with respect to said next servo sector;

10 dividing said difference by  $N$  to obtain a quotient;

adding said quotient to said average position error to obtain a new average position error; and

setting said new average position error equal to said accumulated offset value.

41. The method of Claim 38, wherein said accumulated offset value comprises at least four measurements of said distance of said transducer head from a centerline of said data track.

42. The method of Claim 38, wherein said step of modifying said transducer head position control parameters comprises prohibiting a transducer head write operation for at least a second period of time.

43. The method of Claim 42, wherein said second period of time is about 4ms.

44. The method of Claim 38, wherein said step of modifying said transducer head position control parameters comprises setting a second write fault threshold value, wherein said second write fault threshold value has a magnitude that is less than said first write fault threshold value.



45. A hard disk drive, comprising:

a base;

a disk comprising a plurality of data tracks arranged concentrically about said spindle, wherein each of said data tracks is segmented into a plurality of sectors, and

5 wherein said disk may be rotated at a constant velocity with respect to said base;

a transducer head having a read head for reading information from said data tracks and a write head for writing information to said data tracks, wherein said transducer head is movable in a radial direction with respect to said disk to address a selected one of said plurality of data tracks;

10 a voice coil motor, interconnected to said transducer head, for moving said transducer head with respect to said data tracks;

a controller, interconnected to said voice coil motor, for controlling a position of said transducer head with respect to said data tracks, wherein said controller receives information concerning a position error of said transducer head with respect to a  
15 centerline of a track being followed by said transducer head from said read head, wherein said information concerning a position error is accumulated over a first period of time to obtain an accumulated position error, wherein parameters of said controller are modified for at least a second period of time if said accumulated position error is greater than an accumulated offset threshold value.

46. The hard disk drive of Claim 45, wherein said position error of said transducer head is measured at discrete moments in time during said at least a first period of time.

47. The hard disk drive of Claim 45, wherein said accumulated position error comprises at least four measurements of said distance of said transducer head from a centerline of said track being followed.

48. The hard disk drive of Claim 45, wherein parameters of said controller modified for at least said second period of time include prohibiting a transducer head write operations for at least said second period of time.

49. The hard disk drive of Claim 45, wherein said second period of time is about 4ms.

50. The hard disk drive of Claim 45, wherein said step of modifying said transducer head position control parameters comprises setting a second write fault threshold value, wherein said second write fault threshold value has a magnitude that is less than said first write fault threshold value.

51. A method for reducing track misregistration errors in a disk drive, comprising:

5 detecting an off-track event, wherein a transducer head of said disk drive is outside of an off-track threshold;

comparing a magnitude of said detected off-track event to a severe shock threshold; and

prohibiting write operations for an extended period of time if said detected off-track event exceeds said severe shock threshold.

52. The method of Claim 51, wherein said extended period of time is equivalent to an amount of time required for at least 120 disk hard sectors to pass beneath end transducer head.

53. The method of Claim 51, wherein said extended period of time is about 6ms.

54. The method of Claim 51, wherein said off-track event is measured as a distance of said transducer head from a centerline of a data track.

55. The method of Claim 51, wherein said severe shock threshold comprises a distance of said transducer head from a centerline of a data track of about 35% of a width of said data track.

56. The method of Claim 51, wherein said extended period of time is equivalent to an amount of time required for a disk in said disk drive to make at least 1/4 of a revolution but less than a full revolution.

57. The method of Claim 51, wherein said extended period of time is equivalent to an amount of time required for a disk in said disk drive to make at least  $\frac{1}{2}$  a revolution but not more than  $\frac{3}{4}$  of a revolution.

58. The method of Claim 51, wherein said extended period of time is equivalent to an amount of time required for a disk in said disk drive to make about  $\frac{3}{4}$  of a revolution.

59. The method of Claim 51, further comprising prohibiting write operations for a shortened period of time if said detected off-track event does not exceed said severe shock threshold.

60. The method of Claim 59, wherein said shortened period of time is equivalent to an amount of required for at least 2 data track sectors to pass beneath said transducer head.

61. The method of Claim 59, wherein said shortened period of time is about 130 ms.

62. The method of Claim 56, wherein an off-track event comprises a deviation of said transducer head from a centerline of a data track by a distance equivalent to about 15% of a width of said data track.

## ABSTRACT

A method and apparatus for dynamically detecting write fault errors is provided. According to the invention, transducer head control parameters are adjusted according to observed events. For instance, off-track events of a certain magnitude may trigger the implementation of a severe shock timer, which lengthens the delay between the off-track event and allowing write operations to resume, as compared to the standard off-track timer. According to another embodiment of the present invention, a lower write fault threshold is implemented following an off-track event of sufficient magnitude. According to yet another embodiment of the present invention, the average or accumulated position error of the transducer head is monitored, and a lower write fault threshold implemented if the average position error exceeds a threshold value. The present invention's provision of a method and apparatus that enables transducer head control parameters to be modified in response to observed off-track events allows the disk drive to provide high resistance to data loss without significant detrimental effects on the data throughput performance of the disk drive.

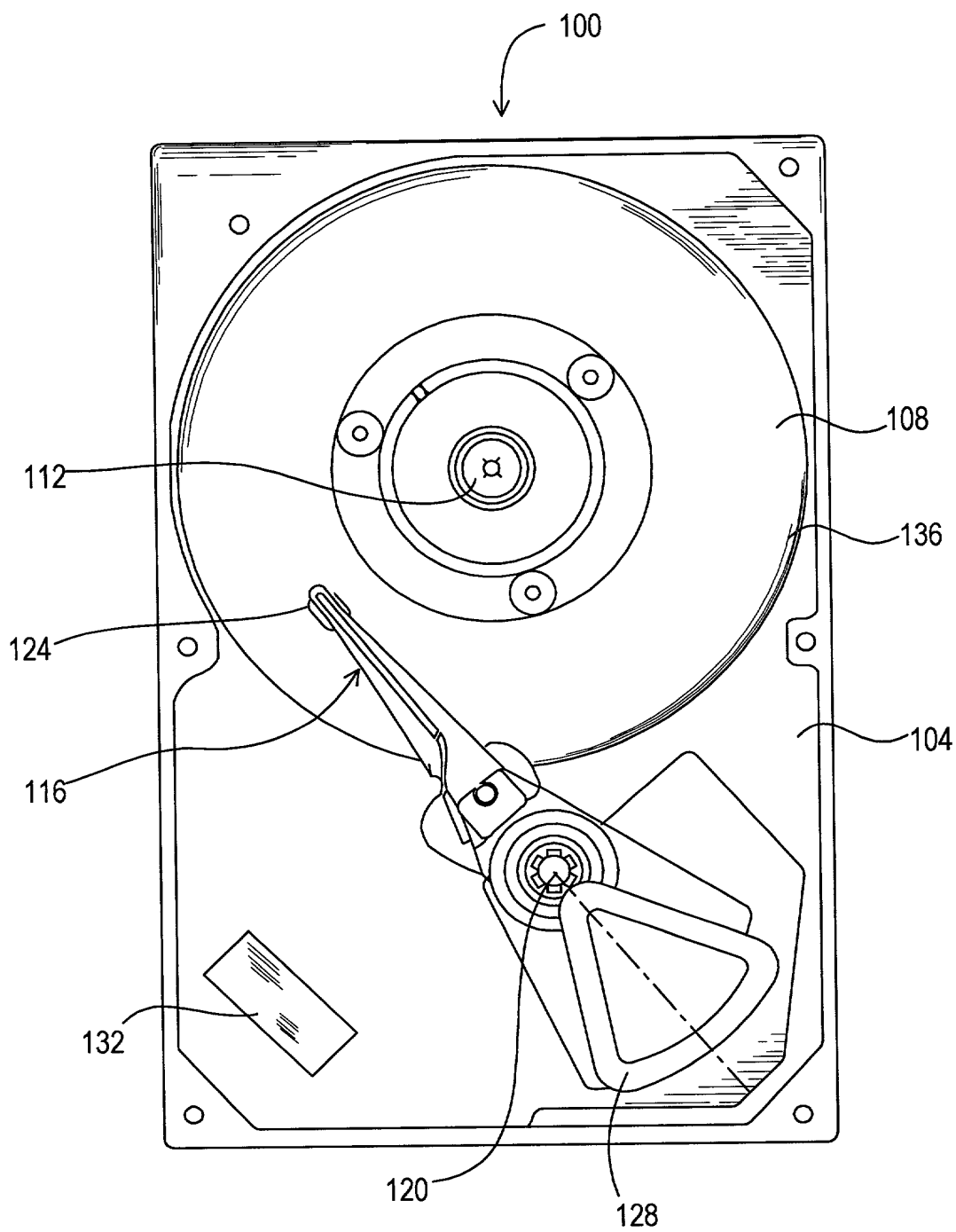
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FIG. 1

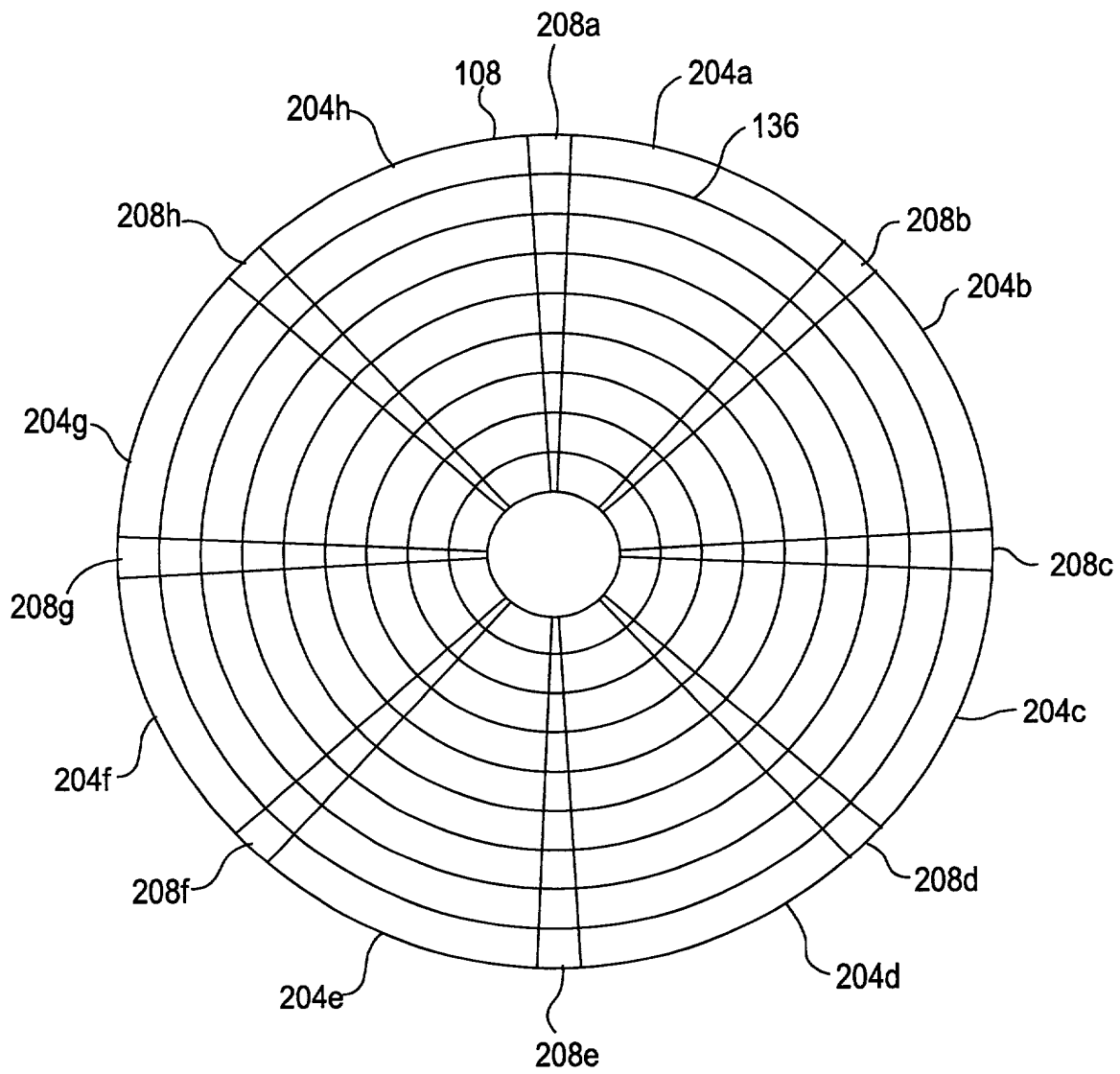
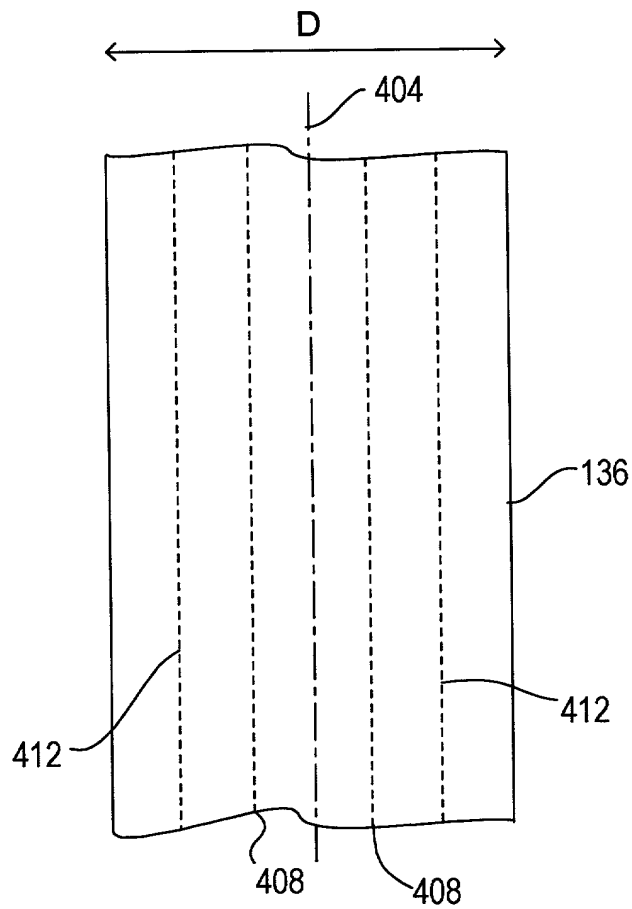
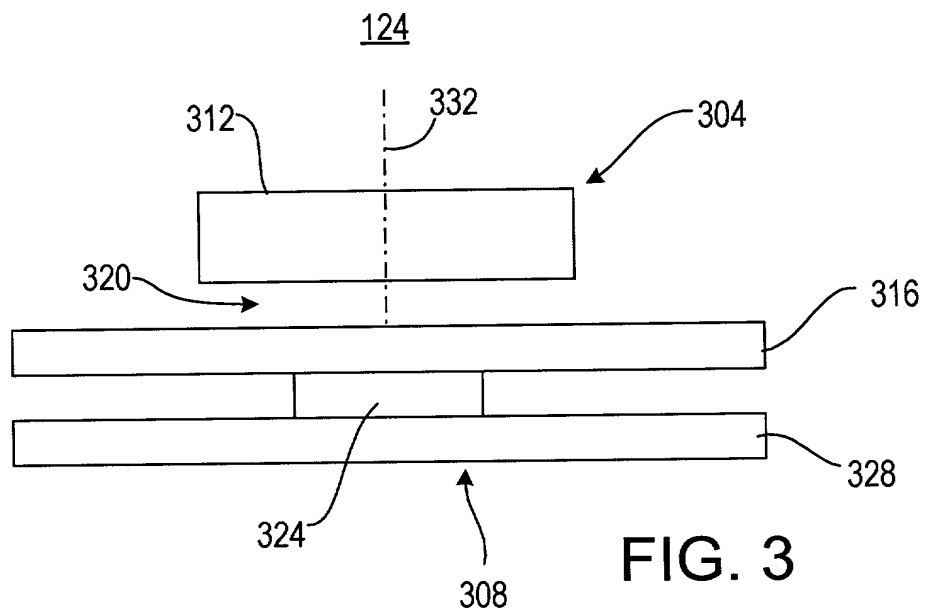


FIG. 2





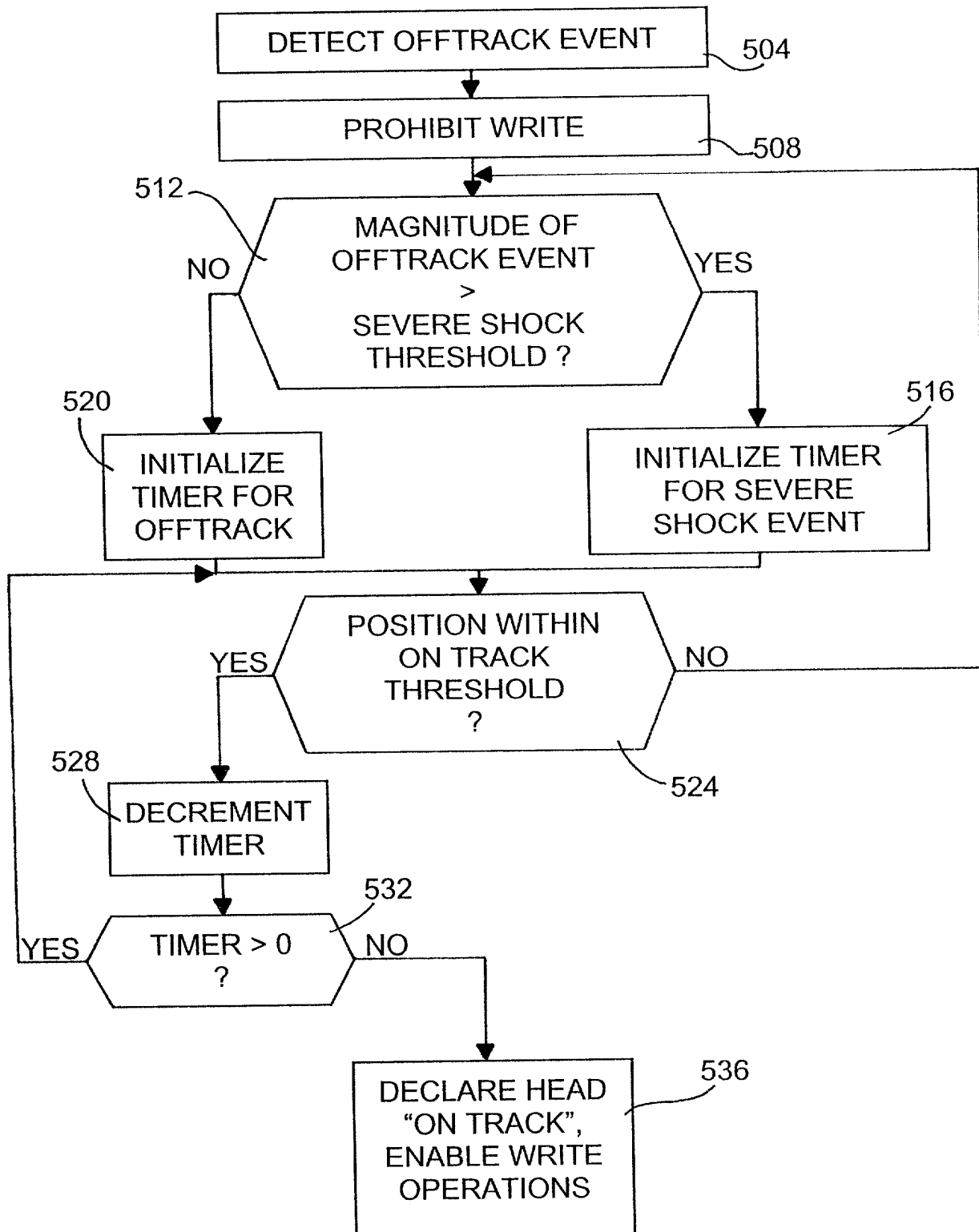


FIG. 5

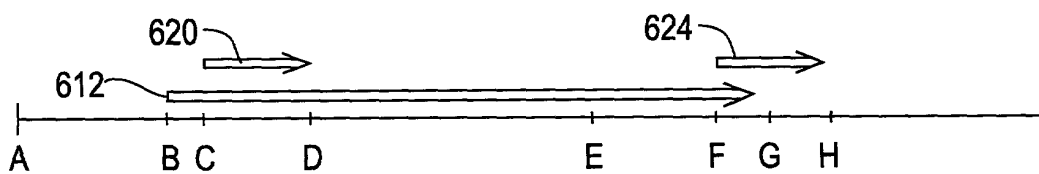


FIG. 6A

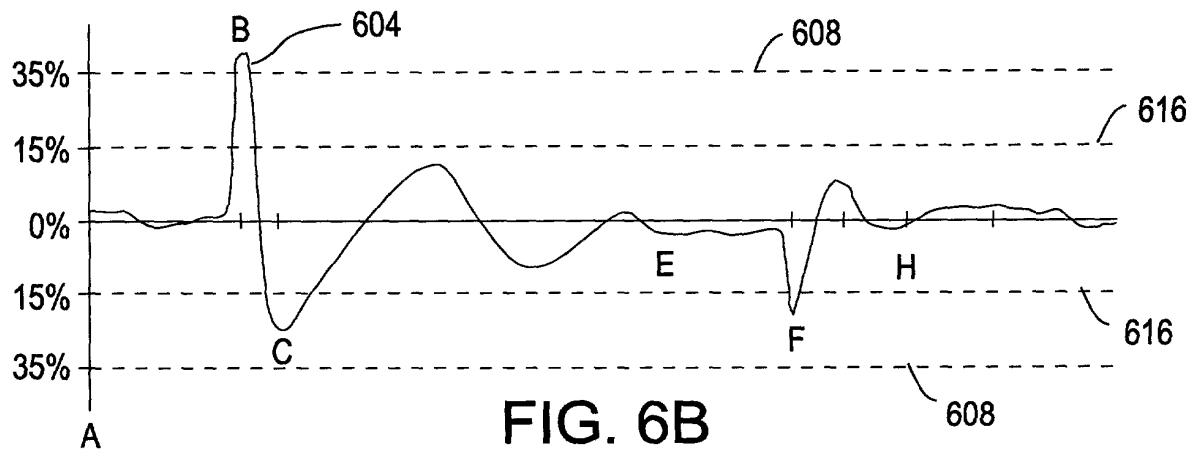


FIG. 6B

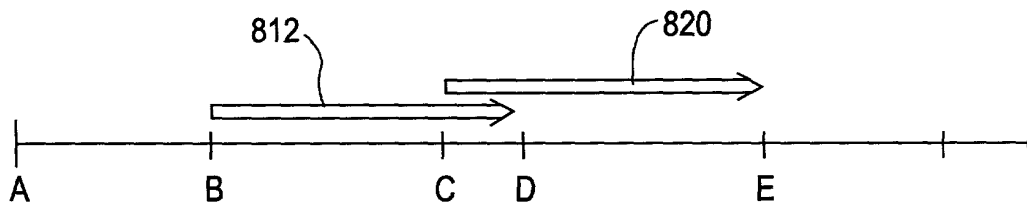


FIG. 8A

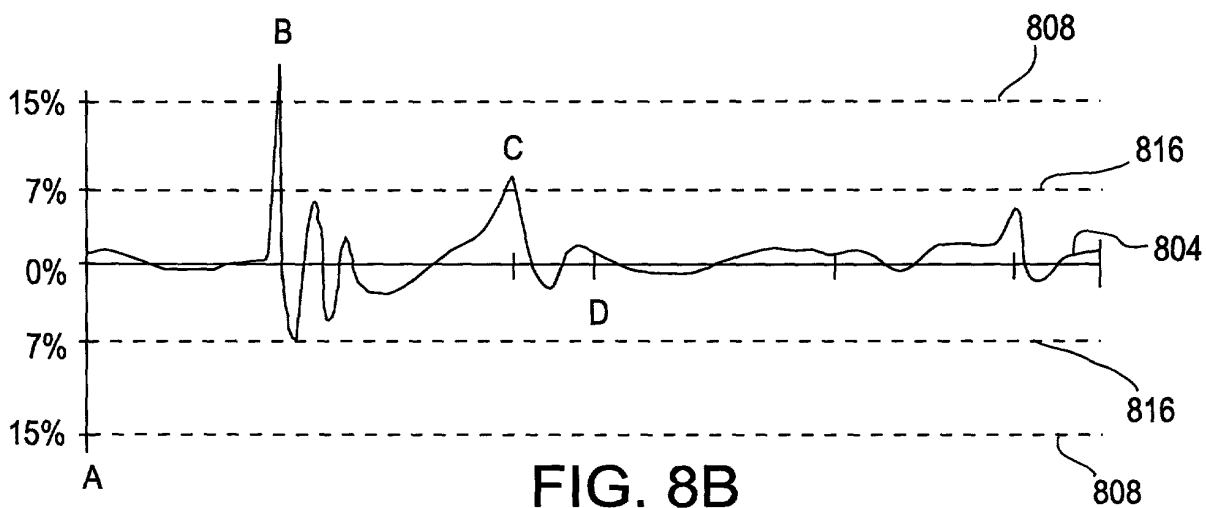


FIG. 8B

FIG. 7

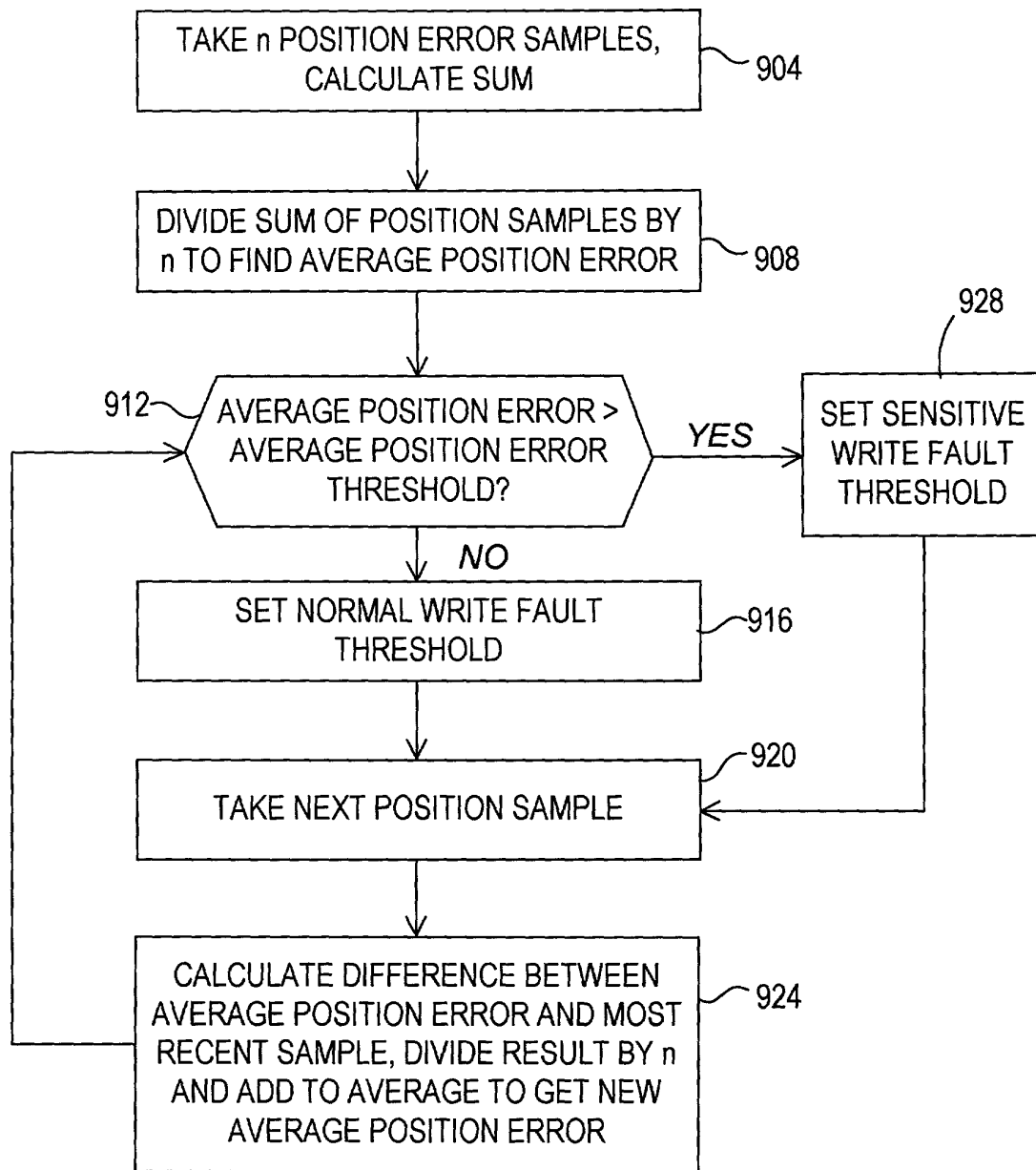
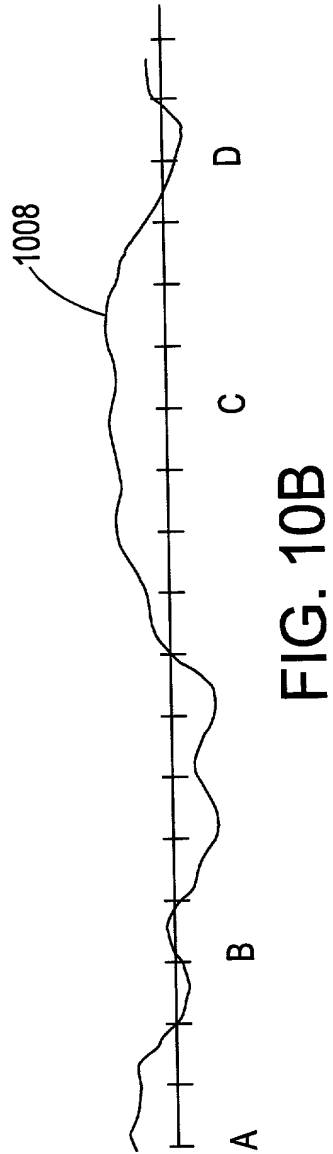
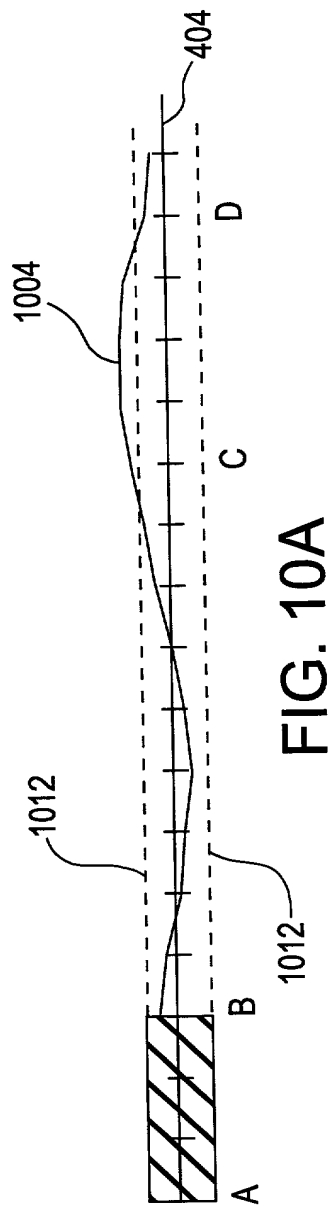


FIG. 9



## GENERAL POWER OF ATTORNEY

On behalf of Maxtor Corporation, a Delaware corporation having a principal place of business at 2190 Miller Drive, Longmont, Colorado 80501, I, Carlotta Barr-Smith, Assistant Secretary of Maxtor Corporation, hereby appoint:

David M. Sigmond of Maxtor Corporation, Registration No. 34,013; and

- David F. Zinger, Registration No. 29,127; Craig C. Groseth, Registration No. 31,713; Michael L. Tompkins, Registration No. 30,980; Sabrina C. Stavish, Registration No. 33,374; Todd P. Blakely, Registration No. 31,328; Lewis D. Hansen, Registration No. 35,536; Joseph E. Kovarik, Registration No. 33,005; Gary J. Connell, Registration No. 32,020; Wannell M. Crook, Registration No. 31,071; Douglas W. Swartz, Registration No. 37,739; Bruce A. Kugler, Registration No. 38,942; Robert R. Brunelli, Registration No. 39,617; Richard L. Hughes, Registration No. 31,264; Tejpal S. Hansra, Registration No. 38,172; Dana L. Hartje Cardwell, Registration No. 40,638, Angela Dallas-Pedretti, Registration No. 42,460; Benjamin B. Lieb, Registration No. 42,801; Bradley M. Knepper, Registration No. 44,189; Theresa A. Brown, Registration No. 32,547; and Miriam R. Drickman Trudell, Registration No. 42,499, of SHERIDAN ROSS P.C., 1560 Broadway, Suite 1200, Denver, Colorado 80202-5141, telephone number 303/863-9700, as attorneys and agents for MAXTOR CORPORATION before the U.S. Patent Office, any foreign patent offices and all competent International Authorities in connection with any and all U.S., foreign and international patent applications filed on behalf of MAXTOR CORPORATION, with full powers of substitution, association and revocation, and to transact all business in the U.S. Patent and Trademark Office and all foreign and international patent offices connected therewith.

Date:

10 July 2000

By:

C. Barr Smith

Carlotta Barr-Smith

Assistant Secretary of Maxtor Corporation

RULE 63 (37 CFR § 1.63)  
DECLARATION  
FOR PATENT APPLICATION  
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

As a below named inventor, I hereby declare that my residence, post office address and citizenship are as stated below next to my name, and I believe I am an original, first and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled "DYNAMIC OFF TRACK DETECTOR", the specification of which is identified as Attorney File No. 3123-345 and attached hereto.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose information which is material to patentability in accordance with 37 CFR 1.56(a) and (b) as set forth on the attached sheet indicated Page 3 hereof and which I have read.

I hereby claim foreign priority benefits under 35 U.S.C. 119/365 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s)			Priority Claimed	
<u>Number</u>	<u>Country</u>	<u>Day/Month/Year Filed</u>	<u>Yes</u>	<u>No</u>
N/A				

I hereby claim the benefit under 35 U.S.C. 120/365 of all United States and PCT international applications listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in such prior applications in the manner provided by the first paragraph of 35 U.S.C. 112, I acknowledge the duty to disclose information material to patentability in accordance with 37 CFR 1.56(a) and (b) which occurred between the filing date(s) of the prior application(s) and the national or PCT international filing date of this application:

<u>Application Serial No.</u>	<u>Filing Date</u>	<u>Status: patented, pending, abandoned</u>
N/A		

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were

made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application of any patent issued thereon.

1) Inventor's Signature  Date 8/6/00

Inventor's Name: Don Brunnett

Citizenship: USA

Residence: 429 Dolores Ct.  
Pleasanton, CA 94566

Post Office Address\*: Same as Residence

\*Complete Post Office Address in full if different from Residence, otherwise indicate that the Post Office Address is "Same as Residence."

2) Inventor's Signature \_\_\_\_\_ Date \_\_\_\_\_

Inventor's Name: John Purkett

Citizenship: USA

Residence: 3129 Captains Ln.  
Longmont, CO 80503

Post Office Address\*: Same as Residence

\*Complete Post Office Address in full if different from Residence, otherwise indicate that the Post Office Address is "Same as Residence."

3) Inventor's Signature \_\_\_\_\_ Date \_\_\_\_\_

Inventor's Name: Bruce Liikanen

Citizenship: USA

Residence: 7926 Lakota Ridge Lane  
Berthoud, CO 80513

Post Office Address\*: Same as Residence

\*Complete Post Office Address in full if different from Residence, otherwise indicate that the Post Office Address is "Same as Residence."



made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

1) Inventor's Signature \_\_\_\_\_ Date \_\_\_\_\_

Inventor's Name: Don Brunnett

Citizenship: USA

Residence: 429 Dolores Ct.  
Pleasanton, CA 94566

Post Office Address\*: Same as Residence

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2) Inventor's Signature \_\_\_\_\_ Date \_\_\_\_\_

Inventor's Name: John Purkett

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Residence: 3129 Captains Ln.  
Longmont, CO 80503

Post Office Address\*: Same as Residence

\*Complete Post Office Address in full if different from Residence, otherwise indicate that the Post Office Address is "Same as Residence."

3) Inventor's Signature  Date 7/25/2000

Inventor's Name: Bruce Liikanen

Citizenship: USA

Residence: 7926 Lakota Ridge Lane  
Berthoud, CO 80513

Post Office Address\*: Same as Residence

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made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

1) Inventor's Signature \_\_\_\_\_ Date \_\_\_\_\_

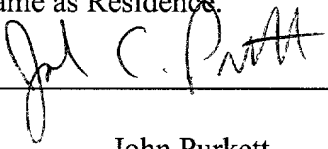
Inventor's Name: Don Brunnett

Citizenship: USA

Residence: 429 Dolores Ct.  
Pleasanton, CA 94566

Post Office Address\*: Same as Residence

\*Complete Post Office Address in full if different from Residence, otherwise indicate that the Post Office Address is "Same as Residence."

2) Inventor's Signature  \_\_\_\_\_ Date 7/19/2000

Inventor's Name: John Purkett

Citizenship: USA

Residence: 3129 Captains Ln.  
Longmont, CO 80503

Post Office Address\*: Same as Residence

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3) Inventor's Signature \_\_\_\_\_ Date \_\_\_\_\_

Inventor's Name: Bruce Liikanen

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Residence: 7926 Lakota Ridge Lane  
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37 CFR §1.56(a) and (b)  
DUTY TO DISCLOSE INFORMATION MATERIAL  
TO PATENTABILITY

(a) A patent by its very nature is affected with a public interest. The public interest is best served, and the most effective patent examination occurs when, at the time an application is being examined, the Office is aware of and evaluates the teachings of all information material to patentability. Each individual associated with the filing and prosecution of a patent application has a duty of candor and good faith in dealing with the Office, which includes a duty to disclose to the Office all information known to that individual to be material to patentability as defined in this section. The duty to disclose information exists with respect to each pending claim until the claim is cancelled or withdrawn from consideration, or the application becomes abandoned. Information material to the patentability of a claim that is cancelled or withdrawn from consideration need not be submitted if the information is not material to the patentability of any claim remaining under consideration in the application. There is no duty to submit information which is not material to the patentability of any existing claim. The duty to disclose all information known to be material to patentability is deemed to be satisfied if all information known to be material to patentability of any claim issued in a patent was cited by the Office or submitted to the Office in the manner prescribed by §§1.97(b)-(d) and 1.98. However, no patent will be granted on an application in connection with which fraud on the Office was practiced or attempted or the duty of disclosure was violated through bad faith or intentional misconduct. The Office encourages applicants to carefully examine:

(1) prior art cited in search reports of a foreign patent office in a counterpart application, and

(2) the closest information over which individuals associated with the filing or prosecution of a patent application believe any pending claim patentably defines, to make sure that any material information contained therein is disclosed to the Office.

(b) Under this section, information is material to patentability when it is not cumulative to information already of record or being made of record in the application, and

(1) It establishes, by itself or in combination with other information, a prima facie case of unpatentability of a claim; or

(2) It refutes, or is inconsistent with, a position the applicant takes in:

(i) Opposing an argument of unpatentability relied on by the Office, or

(ii) Asserting an argument of a patentability.

A prima facie case of unpatentability is established when the information compels a conclusion that a claim is unpatentable under the preponderance of evidence, burden-of-proof standard, giving each term in the claim its broadest reasonable construction consistent with the specification, and before any consideration is given to evidence which may be submitted in an attempt to establish a contrary conclusion of patentability.\*

\*Note, 37 CFR §1.97(h) states: "The filing of an information disclosure statement shall not be construed to be an admission that the information cited in the statement is, or is considered to be, material to patentability as defined in §1.56(b)."